Patent Application Patent Application A

IN THE AUDITED STATES PATENT AND TRADEMARK O

2161 9-27-0

Atto he Docket No. DT 0001

OFFICE

JUL 0 3 2002

In the Patent Application of: Neal et al.

Serial No.: 09/741,958

Filed: Dec 20, 2000

For: PRICE OPTIMIZATION SYSTEM

Art Unit: 2161

Examiner: Unknown

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Technology Center 2100

Box: Non-Fee Amendment

Hon. Assistant Commissioner for Patents

Washington, D.C. 20231

June 19, 2002

Dear Sirs:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner of Patents, Washington, D.C. 20231 on June 19, 2002.

Signed

igned:

INFORMATION DISCLOSURE STATEMENT FILED

UNDER 37 C.F.R. §§ 1.56 AND 1.97(b)

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GROUP 3600

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to the Examiner's attention in the referenced application.

- U.S. Patent Number 6,308,162 to Ouimet et al. entitled "METHOD FOR CONTROLLED OPTIMIZATION OF ENTERPRISE PLANNING MODELS"
- 2. International Application Number PCT/US98/10521 to Quimet et al. entitled "A METHOD FOR INCORPORATING PSYCHOLOGICAL EFFECTS INTO DEMAND MODELS"
- 3. Alan Lewis Montgomery, "The Impact of Micro-Marketing on Pricing Strategies", 1994 The University of Chicago, Vol. 55/12-A of Dissertation

Patent Application

Abstracts International, pg 3922 (Abstract Only)

- 4. John Busch, "Cost Modeling as a Technical Management Tool", Research-Technology Management, Nov/Dec 1994, Vol. 37, No. 6, pgs 50-56
- 5. "Pacificorp IRP: Renewables Costs Must Drop 65% to be Competitive with Gas"

Citation of the above documents shall not be construed as an admission that the documents are necessarily prior art with respect to the referenced application. Furthermore, citation of the above documents shall not be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This paper is submitted within three months of the filing date of the referenced patent application in accordance with 37 C.F.R. § 1.97(b); therefore, no fee is believed to be required.

If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (925) 570 8198.

Respectfully submitted,

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LIST OF DOCUMENTS CITED BY APPLICANT TO COMPLY WITH 37 C.F.R. 1.56					APPLICANT Neal et al.					
					FILING DATE 6/19/02	GROUP 2161				
			U.S	S. PATENT	DOCUMENTS					
EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	_	NAME	NAME CLASS		SUBCLASS	FILING I	
	AA	6,308,162	10/23/01		Ouimet et al.					
										
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<u> </u>		DOCUMENT DATE NUMBER		NAME		ASS	SUBCLASS	TRANSLATION		
	BA	WO 98/53415	11/26/98		Ouimet et al.	-			YES	NO
	DA	WO 90/33413	11/20/98		Outmet et al.					<u> </u>
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		OTHER DO	CUMENT	S (Including	Author, Title, Date, Pert	inent Pa	ges, E	tc.)		
	CA Montgomery: "The Impact of Micro-Marketing on Pricing Strategies", 1994 The University of Chicago Vol. 55/12-A of Dissertation of Abstracts International, pg. 3922 (Abstract Only)									922
	СВ	Busch: "Cost Modeling as a Technical Management Tool", Research-Technology Management, Nov/Dec 1994, vol. 37, no. 6, pgs 50-56								
	CC "Pacificorp IRP: Renewables Costs Must Drop 65% to be Competitive with Gas"									
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EXAMINER					DATE CONSIDERED					

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.